

# DEVELOPMENT OF THE MIT ASR SYSTEM FOR THE 2016 ARABIC MULTI-GENRE BROADCAST CHALLENGE

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## ABSTRACT

The Arabic language, with over 300 million speakers, has significant diversity and breadth. This proves challenging when building an automated system to understand what is said. This paper describes an Arabic Automatic Speech Recognition system developed on a 1,200 hour speech corpus that was made available for the 2016 Arabic Multi-genre Broadcast (MGB) Challenge. A range of Deep Neural Network (DNN) topologies were modeled including; Feed-forward, Convolutional, Time-Delay, Recurrent Long Short-Term Memory (LSTM), Highway LSTM (H-LSTM), and Grid LSTM (G-LSTM). The best performance came from a sequence discriminatively trained G-LSTM neural network. The best overall Word Error Rate (WER) was 18.3% ( $p < 0.001$ ) on the development set, after combining hypotheses of 3 and 5 layer sequence discriminatively trained G-LSTM models that had been rescored with a 4-gram language model.

**Index Terms**— Arabic, Automatic Speech Recognition, MGB Challenge, Deep Neural Networks

## 1. INTRODUCTION

Increases in computational power and data sizes, along with foundational work on neural networks have motivated a broad range of research adopting, developing, and evaluating such models. These developments have gone beyond the classical use of Gaussian Mixture Models (GMM) and Hidden Markov Models (HMM) for Automatic Speech Recognition (ASR) systems. Hinton et al. showed the strength of using feed-forward neural networks to model speech [1, 2, 3]. Further developments to harness temporal context showed the power of Recurrent Neural Networks (RNN) in the flavor of Long Short-Term Memory (LSTM) models [4, 5]. Another powerful topology is the Convolutional Neural Network (CNN) which attempts to model local information in the feature space [6, 7]. However, these have generally found to be more powerful in the domain of vision [8, 9, 10].

Further variations of the LSTM model exist, such as the Highway LSTM (H-LSTM) and Grid LSTM (G-LSTM).

The H-LSTM introduces a directed gated connection between any given memory cell  $c_t^l$  in a layer  $l$  to that of the corresponding cell  $c_t^{l+1}$  in the next layer  $l + 1$  above [11, 12, 13]. This connection provides a linear dependence between the cells of different layers, in addition to the linear dependence between cells across time that exists in LSTMs. A G-LSTM is a generalized version of the multi-dimensional LSTM, where each grid contains the same number of LSTM blocks as the number of dimensions, which are time and depth in our case. Gated linear dependence is introduced to adjacent cells at each dimension. Both H-LSTM and G-LSTM ease the problem of the vanishing gradient along depth dimension and hence enable the training of deeper neural network models [14].

Another topology being explored, the Time-Delay Neural Network (TDNN), works to capture a wider context of information with respect to time at both the input and at deeper layers of the network [15]. This is managed by splicing together features at different timestamps at some or all of the layers in the network. A recent development is to perform sequence discriminative training without the need of frame-level cross-entropy pre-training. This is done by performing Maximum Mutual Information (MMI) based sequence training at the phone level. This method successfully outperforms CE trained models on datasets of various sizes [16].

Previous work in the domain of Arabic Automatic Speech Recognition has utilized up to 1,800 hours of data [30], with limited use of Deep Neural Networks for acoustic modeling [18, 21, 30]. The largest single Arabic dataset available until now was the 500 hour GALE corpus [31, 32]. Table 1 provides a summary of research in the field as well as the general ASR performance. In comparison, our current system was trained with data on the larger end of developed systems (1,200 hours) with the release of the Arabic MGB dataset, and employed state-of-the-art in acoustic modeling techniques, extending existing work in the field. Specifically, we evaluate the performance of several DNN topologies; Feed-forward, CNN, LSTM, TDNN, H-LSTM, and G-LSTM. Not only did we compare Neural Network topologies of existing toolkits (Feed-forward, CNN, and TDNN), but we also com-

**Table 1.** Arabic ASR Approaches in Literature

Reference	Hours	Dataset	Language Model	Acoustic Model	WER (%)
Biadisy et al. [17]	40	T	3gram	GMM	43.1 - 47.3
Cardinal et al. [18]	50	Q	3gram	GMM, DNN	18.0 - 42.6
Billa et al. [19]	60	B	3gram	GMM	15.3 - 31.2
Afify et al. [20]	100	F, T	3gram	GMM	14.2 - 21.9
Thomas et al. [21]	100	EA	3gram	DNN, CNN	31.9 - 40.0
Messaoudi-Lamel et al. [22]	150	F, T, B	3gram	GMM	13.2 - 24.8
Messaoudi-Gauvain et al. [23]	150	F, T, B	3gram	GMM	14.8 - 16.0
Xiang et al. [24]	150	F, T, B	3gram	GMM	17.8 - 31.8
Ali et al. [25]	200	G	3gram	GMM/DNN	15.8 - 43.5
Al-Haj et al. [26]	450	IA	3gram	GMM	33.3 - 37.0
Vergyri et al. [27]	1,100	G	3gram	GMM	8.9 - 36.4
El-Desoky et al. [28]	1,100	G	3gram	GMM	13.9 - 16.3
Ng et al. [29]	1,400	F, T, G, IA	3gram	GMM	10.2 - 18.8
Mangu et al. [30]	1,800	G	3gram	GMM, Bayesian Sensing	7.1 - 12.6
Our System	1,200	MGB	3gram, Rescore: 4gram, RNNLM	GMM, DNN, CNN, TDNN, (H/G-)LSTM	18.3 - 40.3

Dataset: **T** = TDT4, **Q** = QCRI/Aljazeera in-house, **B** = BBN in-house News, **F** = FBIS, **G** = GALE, **EA** = Egyptian Arabic, **IA** = Iraqi Arabic, **MGB** = Multi-Genre Broadcast.

pared with LSTM models developed in-house. We highlight that the G-LSTM model was applied for the first time to an ASR task.

## 2. METHOD

### 2.1. Toolkits

Our ASR pipeline employed a number of tools to develop the various components. We used the KALDI speech recognition toolkit to extract features, and to build and evaluate acoustic models [33]. The CNTK toolkit was also used to train acoustic models [34], while the SRILM toolkit was used to build the language models [35].

### 2.2. Features

We built a baseline recognizer using Gaussian Mixture Models (GMMs) and Hidden Markov Models (HMMs). These were trained using 39-dim Mel Frequency Cepstral Coefficients (MFCC) features that were transformed using Linear Discriminant Analysis (LDA), Maximum Log-Likelihood Transform (MLLT), and feature space Maximum Likelihood Linear Regression (fMLLR). Alignments generated from the GMM-HMM model were used to train a variety of DNN based models. The DNN models were trained using Mel Filterbanks (Fbank) either 30 (for the feed-forward models) or 80 in dimension (rest of the models), all of which were concatenated with 3 pitch features. All DNN models used as input spliced features of width 5, unless stated otherwise.

### 2.3. DNN Models

Three models were trained using alignments generated by the GMM-HMM model; (1) a feed-forward DNN was trained with the Cross Entropy (CE) criterion, composed of 5 layers and 2048 hidden units in each layer, (2) a Convolutional Neural Network (CNN) with 4 layers and 2000 hidden units in the first layer, and (3) a Time-Delay Neural Network (TDNN) with 6 layers and 3000 hidden units in the first layer.

Alignments from DNN-CE model were then used to train (1) a feed-forward DNN of the same architecture but with the Minimum Phone Error (MPE) criterion, (2) a sequence discriminatively trained ‘chain’ TDNN model (7x625), (3) a 3-layer LSTM model, (4) two H-LSTM models, with 3 layers and 5 layers respectively, and (5) two G-LSTM models, with 3 layers and 5 layers respectively as well.

The CNN was composed of 4 layers, the first layer was a 1D convolution component with a maxpooling component, the second layer was a single 1D convolutional component, with the third and fourth layers composed of affine components with ReLU nonlinearities. The first layer had 128 filters, with a patch step size of 1, a dimension of 8, and a pool size of 4. There were 256 filters in the second layer with a patch step of 1, and a patch dimension of 8.

The TDNN was composed of 6 layers, with connections of  $[\{-4,-3,-2,-1,0,1,2,3,4\};\{0\};\{-2,2\};\{0\};\{-4,4\};\{0\}]$ . The input layer had 3000 hidden units, with the input feature a splice of width 4 (window of +/- 4 frames). The second layer was fully connected to the layer below, the third layer concatenated the input from activations at timestamps only at minus and plus 2 with respect to the node being considered, the third layer was fully connected to the layer below, and so on.

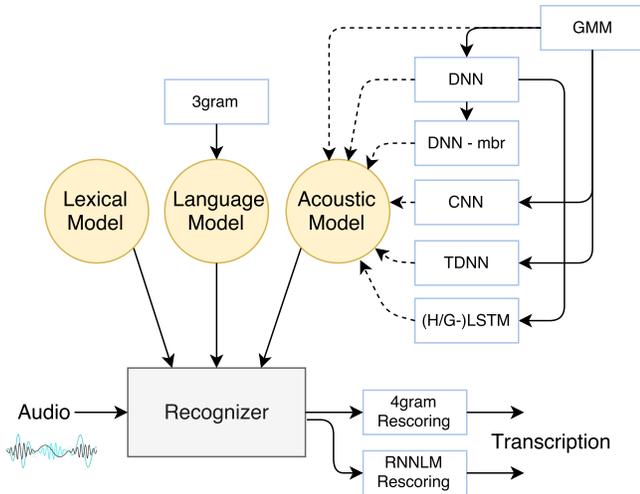


Fig. 1. Experimental Setup of Arabic ASR System.

The **chain TDNN** model was composed of 7 layers with 625 Rectified Linear units (ReLU) at the input layer. The spliced indices at the different layers were  $[-1,0,1]; [-1,0,1,2]; [-3,0,3]; [-3,0,3]; [-3,0,3]; [-3,0,3]; [-6,-3,0]; [0]$  with LDA applied to the input features. We used the default parameters as defined in the Kaldi recipe <sup>1</sup>.

For the **LSTM** and **H-LSTM**, each layer was comprised of 1024 memory cells, and the cell output was fed into a 512-unit linear projection layer [12]. For the **G-LSTM**, each layer contained 1024 memory cells for the time dimension and 1024 memory cells for the depth dimension, of which the outputs were also projected respectively through a linear layer to 512 dimensions. Furthermore, we refined two G-LSTM models by sequence discriminative training with state-level minimum Bayes risk (sMBR) criterion, using the alignments and denominator lattices generated by each model, respectively.

## 2.4. Model Combination

We also assessed how models complement each other in generating a hypothesis transcript. This was done using lattice combination and hypothesis scoring method presented in [36], which applies Minimum Bayes Risk to minimize the expected WER.

## 2.5. Lexicon

We use the provided lexicon composed of graphemes, which is a one-to-one mapping between character and acoustic unit, containing a total of 960,000 word entries, and 38 acoustic units. This lexicon had an Out-Of-Vocabulary (OOV) rate of 1.76% on the development set.

<sup>1</sup>kaldi/egs/swbd/s5c/local/chain/run\_tdnn\_7b.sh

## 2.6. Language Model

We decoded with a 3-gram model trained on the training data only (8 million words). A 4-gram model was trained on the larger provided text using Knesser-Ney Discounting with a pruning threshold of  $1e-10$ . We also experimented with a Recurrent Neural Network (RNN) language model, using the faster-rnnlm tool of the Kaldi toolkit. We trained two RNN language models on the larger text, one with 1000 hidden units and a hierarchical softmax, while the second was composed of 300 hidden units using the Noise Contrastive Error Criterion set to 20.

## 2.7. Evaluation

In addition to the standard Word Error Rate (WER) metric for evaluating ASR performance, we present the statistical significance of these values compared to (1) the GMM-HMM baseline, and (2) compared to its closest and lesser performing model in order to gauge the incremental significance of WER improvements. The Matched Pair Sentence Segment Word Error (MAPSSWE) significance test was used [37].

## 3. DATASET

We trained on 1,200 hours of transcribed audio provided by the 2016 Arabic MGB Challenge. <sup>2</sup> The dataset is composed of 4,000 programs broadcast on the Aljazeera News Channel, spanning 10 years of programming from 2005 to 2015. The transcription is generated from a lightly supervised system, with varying levels of manual annotation. The data is organized into 375,000 utterances containing over 8 million words, and a vocabulary of 200,000 words. <sup>3</sup> Development and evaluation sets were partitioned from the larger set and are 10 hours in duration each. A larger text corpus was also provided, containing over 120 million words, and a vocabulary of 1.4 million words. In addition to the audio, transcriptions, and text, a lexicon was provided. Further details on the dataset can be found here [38].

## 4. RESULTS

A summary of our results are in Table 2. We found that training with DNNs provided at least a 10% absolute gain in performance when compared with the classical GMM-HMM baseline. LSTM based models provided the best performance (23.6% WER) compared to the feed-forward DNN (25.6%), TDNN (27.1%), and CNN models (29.5%), while the best performing system was the discriminatively trained 5 layer G-LSTM with a WER of 20.1%. The chain TDNN performed as

<sup>2</sup><http://www.mgb-challenge.org/arabic.html>

<sup>3</sup>[http://alt.qcri.org/MGB\\_challenge\\_Arabic\\_Track\\_2016/MGB\\_Arabic\\_description\\_2016.pdf](http://alt.qcri.org/MGB_challenge_Arabic_Track_2016/MGB_Arabic_description_2016.pdf)

**Table 2.** Development Set Results of Models

Model	Topology	Features	Alignments	WER (%) $p < (\text{prev}/\text{base})$	WER (%) 4gram $p < (\text{prev}/\text{base})$
GMM-HMM	-	MFCC+LDA+MLLT+FMLLR	-	40.3 (-/-)	-
DNN CE	5x1024	30 Fbank + Pitch	GMM	29.7 (0.001/0.001)	28.1 (0.001/0.001)
CNN	4x2000	80 Fbank + Pitch	GMM	29.5 (0.472/0.001)	28.1 (0.734/0.001)
TDNN	6x3000	80 Fbank + Pitch	GMM	27.1 (0.001/0.001)	25.8 (0.001/0.001)
DNN MPE	5x1024	30 Fbank + Pitch	CE	25.6 (0.001/0.001)	24.7 (0.001/0.001)
Chain TDNN	7x625	80 Fbank + Pitch	GMM	23.6 (0.001/0.001)	23.4 (0.001/0.001)
LSTM	3x1024	80 Fbank + Pitch	CE	23.6 (0.936/0.001)	22.7 (0.001/0.001)
H-LSTM 3L	3x1024	80 Fbank + Pitch	CE	23.3 (0.027/0.001)	22.6 (0.250/0.001)
H-LSTM 5L	5x1024	80 Fbank + Pitch	CE	23.1 (0.055/0.001)	22.4 (0.184/0.001)
G-LSTM 3L	3x1024	80 Fbank + Pitch	CE	22.4 (0.001/0.001)	21.7 (0.001/0.001)
G-LSTM 5L	5x1024	80 Fbank + Pitch	CE	22.2 (0.110/0.001)	21.5 (0.070/0.001)
G-LSTM 3L sMBR	3x1024	80 Fbank + Pitch	CE	20.4 (0.001/0.001)	19.5 (0.001/0.001)
G-LSTM 5L sMBR	5x1024	80 Fbank + Pitch	CE	20.1 (0.009/0.001)	19.2 (0.034/0.001)
<b>Top 2 Combined</b>	<b>G-LSTM sMBR (3L+ 5L)</b>	<b>80 Fbank + Pitch</b>	<b>CE</b>	<b>-</b>	<b>18.3 (0.001/0.001)</b>

well as the LSTM model (23.6%). We also found that rescoreing with a 4-gram model improves performance by 0.2% to 1.6% absolute WER. Although we do not report the numbers, we note that when rescoreing with the RNN language models there were no observable improvements in performance. Finally, combining the hypotheses of the top two systems - the 3 and 5 layer G-LSTM sMBR after 4-gram rescoreing - yielded the best results with a WER of 18.3%. All results were found to be significant at  $p < 0.001$  with respect to the GMM-HMM baseline, while 8 out of the 13 results differed significantly from their lower neighbors at  $p < 0.05$ .

## 5. DISCUSSION

We found that models capturing context (LSTMs) with respect to time were superior to other neural network topologies. Although the CNN model only performed as well as the DNN-CE model, there could be other ways to use this model to leverage its strengths. CNNs have been found to be good at extracting feature representations and reducing variance in the frequency domain [39], therefore, it may be better utilized if piped within a hybrid-like DNN topology, as a feature extraction step [40]. The TDNN performed better than the DNN-CE which may be due to the way it captures a wider temporal context at both the input and at deeper layers of the network [15]. Interestingly, the chain TDNN model outperformed the sequence discriminatively trained DNN and performed as well as the LSTM even though it trained on weaker alignments (GMM-HMM versus CE). This highlights the strength and feasibility of sequence discriminative training with a phone level MMI objective function of a neural network with a TDNN topology. Although rescoreing with an RNN language model did not help performance, gain from the RNN language model may be achieved with more optimized training parameters, a space which we did not exten-

sively search. The significance of the results (8/13 results with  $p < 0.05$  compared to next increase in WER) highlights that each incremental improvement in WER introduced by a different network topology is a significant increase, even if it is a difference of only 0.3% absolute.

## 6. CONCLUSIONS

We have described the MIT system for Arabic ASR developed on the 1,200 hour dataset of the 2016 Multi-Genre Broadcast Challenge. We evaluated several DNN topologies; Feed-forward, CNN, TDNN, LSTM, H-LSTM, and G-LSTM. We found that models capturing temporal context (LSTMs) out-performed all other models, with sequence discriminative training (chain, sMBR) showing strength. A discriminatively trained 5 layer G-LSTM was the best performing acoustic model, with a WER of 19.2% ( $p < 0.05$ ) after 4-gram language model rescoreing. The absolute best performance achieved was 18.3% ( $p < 0.001$ ) WER with a system combination of the top two hypotheses from the sequence trained G-LSTM models.

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